

PROCUREMENT PLAN

Basic Data

Project Name: Fiscal Management Efficiency Project (formerly Fiscal Management Reform Program II)	
Project Number: 38356-013	Approval Number: 2624
Country: Sri Lanka	Executing Agency: Ministry of Finance and Planning
Project Financing Amount: US\$ 40,800,000 ADB Financing: US\$ 30,800,000 Cofinancing (ADB Administered): Non-ADB Financing: US\$ 10,000,000	Implementing Agency: N/A Project Closing Date: 31 July 2017
Date of First Procurement Plan: 15 April 2010	Date of this Procurement Plan: 01 February 2016, Version 1

A. Methods, Thresholds, Review and 18-Month Procurement Plan

1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 2,000,000 and Above	
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 1,999,999	
Shopping for Goods	Up to US\$ 99,999	
Shopping for Works	Up to US\$ 99,999	

Consulting Services	
Method	Comments
Quality- and Cost-Based Selection for Consulting Firm	
Single Source Selection for Consulting Firm	
Single Source Selection for Individual Consultant	

2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
td	Sri Lanka Customs ORACLE ExaData solution for both Production & data recovery (with 5 year comprehensive support)	1,900,000.00	NCB	Prior	1S1E	Q1 / 2016	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods

3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
tbd 10	Strategic Project Development Concepts in Modernization of Government Administration for Staff of the Inland Revenue Department of Sri Lanka (Deleted)	1,400,000.00	QCBS	Prior	Q3 / 2015	FTP	Assignment: National Quality-Cost Ratio: 80:20 Comments: TOR and cost estimates to be revised. To be readvertised.
tbd 11	Other trainings (Deleted)	650,000.00	QCBS	Prior	Q1 / 2015	FTP	Assignment: National Quality-Cost Ratio: 80:20 Comments: There are more than one trainings included in the package and will be procured through QCBS/SSS/CQS . EA should consult ADB when determining selection method from these options. Cost estimate and

							TOR should be provided
tbd 12	Capacity development training for basic IT computer training-standard Courses (Deleted)	160,000.00	QCBS	Prior	Q3 / 2015	FTP	Assignment: National Quality-Cost Ratio: 80:20 Comments: To be determined by the EA once the TOR is finalised

4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
tbd	Supply and Installation of Network Equipment's and Firewalls for the Setting up a Disaster Recovery Site	700,000	01	NCB	Prior	1SIE	Q1/2015	
tbd	Supply and Installation of Electronically operated Containers Gate for the Sri Lanka Customs	700,000	01	NCB	Prior	1SIE	Q1/2015	
tbd	Supply and Installation of fourteen (14) Application Servers for Sri Lanka Customs	400,000	01	NCB	Prior	1SIE	Q1/2015	
tbd	Refurbishment of the FMEP office to establish ITMIS Call Center	80,000	01	NS	Prior	IFQ	Q1/ 2016	Civil work contract. Obtain quotation from five Contractors who have been registered with ICTAD
tbd	Supply and Installation Equipment's for the Call Center	15,000	05	NS	Prior	IFQ	Q1/ 2016	Obtain quotations from five reputed vendors
tbd	Supply and Installation Furniture for the Call Centre	20,000	01	NS	Prior	IFQ	Q1/ 2016	Obtain quotations from five reputed vendors
tbd	Supply and Installation AC for the Call Centre and Server Room	30,000	01	NS	Prior	IFQ	Q1/ 2016	Obtain quotations from five reputed vendors
tbd	Supply and Installation PABX system for the Call Centre and Server Room	20,000	01	NS	Prior	IFQ	Q1/ 2016	Obtain quotations from five reputed vendors

tbd 04	Western Province revenue administration procurement of IT training facilities and equipment	510,000.00	1	ICB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods
tbd 05	Sri Lanka Customs - Setting up the Disaster Recovery Site in the Data Center in Ministry of Finance (with Checkpoint/Palo Alto firewall cluster)	600,000.00	1	NCB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Comments: Memo was approved on 3 Nov 2015.
tbd 06	Sri Lanka Customs - Electronically operated Containers Gate with 5 year comprehensive support	800,000.00	1	NCB	Prior	1S1E	Prequalification of Bidders: N Domestic Preference Applicable: N Bidding Document: Goods Comments: Memo was approved on 3 Nov 2015.

Consulting Services							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Recruitment Method	Review (Prior/Post)	Type of Proposal	Comments
None							

C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

1. Awarded and Ongoing Contracts

Goods and Works							
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
0011	ITMIS-- supply, customization implementation and related IT infrastructure	14,300,000.00	17,984,622.00	ICB	Q2 / 2011	28-JUN-13	

Consulting Services							
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
	Training Programme on E-Governance and Change Management for the staff of the Ministry of Finance	100,000	97,720	SSS	23-JUL-2015	06-NOV-2015	First Course for 100 participants of the is already completed from 30 th November to 04 th December 2015 and the Second Course for 100 participants is scheduled to be completed by Q1/2016.

2. Completed Contracts

Goods and Works								
Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Date of Completion	Comments
0012	Equipment for Digital Classroom at the AFS - for RAMIS end user training	84,357.31	84,357.31	SHOPPING	Q2 / 2012	13-NOV-12	13-NOV-14	

Consulting Services								
Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Date of Completion	Comments
0003	British Council (Business English Language Training) FMEP/CAPD EV/SSS/BC/2 011-04	85,030.00	85,030.00	SSS	Q1 / 2011	04-JUL-11	14-FEB-12	
0007	National University of Singapore, Lee Kuan Yew School of Public Policy (Strategic Management and Public Finance training) FMEP/CAPD EV/LKY/SSS/ 2011-03	165,963.68	165,963.68	SSS	Q1 / 2011	29-JUN-11	02-SEP-13	
0006	Public Finance and Strategic Management for Staff of the Ministry of Finance and Planning	344,092.00	344,092.00	QCBS	Q2 / 2012	17-JAN-13	17-OCT-13	
0013	LKY Training	38,636.01	38,636.01	SSS	Q2 / 2013	07-JAN-14	28-JAN-14	

on Effective
Performance
Management
Systems -

	Best practices for officials of the Public Services Commission and Ministry of Finance							
tbd 14	LKY Training on Advanced Management Development Program for Officials of Ministry of Finance and Planning and Auditor General's Department	70,000.00	66,000.00	SSS	Q1 / 2014	10-MAR-14	17-DEC-14	
0005	E-Governance and Change Management for Senior Staff of the inland Revenue Department, Ministry of Finance and Planning and other related agencies	696,238.00	696,238.00	QCBS	Q2 / 2012	17-JAN-13	17-DEC-14	
00..... ...	Executive Training in Excellence in Public Policy and Management For Staff of Ministry of Finance and related agencies	83,871.60 (SGD 113,340)	83,871.60 (SGD 113,340)	SSS	Q1/2015	25-FEB-15	27-MARCH-15	

D. National Competitive Bidding

A. Regulation and Reference Documents

1. The procedures to be followed for national competitive bidding shall conform to the provisions prescribed in the *Procurement Guidelines 2006 for Goods and Works* issued in January 2006 by the National Procurement Agency, and the specific procedures prescribed by the *Procurement Manual* issued in March 2006, with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the ADB Procurement Guidelines.

B. Procurement Procedures

1. Eligibility

2. The eligibility of bidders shall be as defined under Section I of ADB's Procurement Guidelines (2015, as amended from time to time) (the "Guidelines"); accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in Section I of the Guidelines.

2. Registration and Sanctioning

3. Registration is acceptable under the following conditions:

- (i) Bidding shall not be restricted to pre-registered firms under the national registration system of the Institute for Construction, Training and Development (ICTAD), and such registration shall not be a condition for the submission of bids in the bidding process.
- (ii) Where registration is required prior to award of contract, bidders: (i) shall be allowed a reasonable time to com

plete the ICTAD registration process; and (ii) shall not be denied registration for reasons unrelated to their capability and resources to successfully perform the contract, which shall be verified through post-qualification.

(iii) National sanction lists or blacklists may be applied only with prior approval of ADB.

3. Prequalification

4. Prequalification is discouraged for NCB. When used, particularly for works contracts, an individual prequalification exercise is acceptable for each contract as is the use of a registration system (or approved standing list) of contractors based on criteria such as experience, financial capacity, and technical capacity. Foreign bidders from eligible countries must, however, be allowed to register and to bid without unreasonable cost or additional requirements.

4. Advertising

5. The posting of NCB specific notices for contracts valued at less than \$1 million on ADB's website is not required but is highly recommended.

5. Preferences

6. The following shall be observed:

- (i) No preference of any kind shall be given to domestic bidders or for domestically manufactured goods.
- (ii) Foreign suppliers and contractors from ADB member countries shall be allowed to bid, without registration, licensing, and other government authorizations, leaving compliance with these requirements for after award and before signing of contract.

6. Participation by Government-Owned Enterprises

7. Government-owned enterprises in the Democratic Socialist Republic of Sri Lanka shall be eligible to participate only if they can establish that they are legally and financially autonomous, operate under commercial law, and are not a dependent agency of the procuring entity, or the Project Executing Agency or Implementing Agency.

7. Rejection of Bids and Rebidding

8. Bids shall not be subjected to a test for unrealistic rates. No lowest evaluated and substantially responsive bid shall be rejected on the basis of comparison to rates, including but not limited to market, historical, or agency established rates, without prior approval of ADB.

9. Bids shall not be rejected and new bids solicited without the ADB's prior concurrence.

8. Price Negotiations

10. Price negotiation shall be allowed only where the price offered by the lowest evaluated and substantially responsive bidder substantially exceeds costs estimates. Approval of ADB is required prior to any negotiation of prices.

C. Bidding Documents

9. Acceptable Bidding Documents

11. Procuring entities shall use standard bidding documents acceptable to ADB for the Procurement of Goods, Works and Consulting Services, based ideally on the standard bidding documents issued by ADB.

10. Bid Security

12. Where required, bid security shall be in the form of a certified check, a letter of credit or a bank guarantee from a reputable bank.

11. ADB Policy Clauses

13. A provision shall be included in all NCB works and goods contracts financed by ADB requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.

14. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question.

15. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.